

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Wheeler et al.**) Art Unit: **3626**
Serial No. **10/710,972**) Confirmation No.: **4971**
Filed: **August 16, 2004**) Examiner: **Zand, Kambiz**
For: **Account Authority Digital Signature (AADS) System Using Encoded Information**

INFORMATION DISCLOSURE STATEMENT

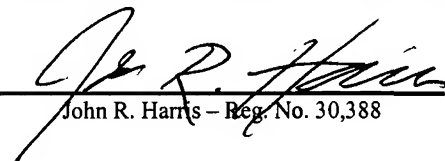
Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Arlington, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) hereby submit(s) the information listed on the accompanying forms PTO/SB/08A and PTO/SB/08B (30 pages) for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the documents listed in the accompanying forms are enclosed herewith.

CERTIFICATE OF MAILING

I hereby certify that this correspondence was ☐ sent via U.S. mail addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, ☐ sent via facsimile no. 571-273-8300 or ☒ filed electronically via EFS-Web on **February 7, 2007**.


John R. Harris – Reg. No. 30,388

SUBMISSION

In accordance with the provisions of 37 C.F.R. § 1.97, this statement is being submitted as indicated below:

☐ (1) Pursuant to 37 C.F.R. § 1.97(b), (i) within three (3) months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53 (d), or (ii) within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, or (iii) before the mailing of the first Office Action on the merits, or (iv) before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114; or

☒ (2) Pursuant to 37 C.F.R. § 1.97(c), after the period defined in (1) but before the mailing date of a final action under 37 C.F.R. § 1.113, a notice of allowance under 37 C.F.R. § 1.311 or an action that otherwise closes prosecution in the application, and

☐ the requisite Statement is below, or

☐ the requisite fee under 37 C.F.R. § 1.17(p), namely \$180, is submitted herewith,

or

☒ the requisite fee under 37 C.F.R. § 1.17(p), namely \$180, is submitted in connection with an electronic IDS filed concurrently on this day.

☐ (3) Pursuant to 37 C.F.R. § 1.97(d), after the period defined in (2) but before payment of the issue fee, and

☐ the requisite Statement is below, and

☐ the requisite fee under 37 C.F.R. § 1.17(p), namely \$180 is submitted herewith.

☐ the requisite fee under 37 C.F.R. § 1.17(p), namely \$180, is submitted in connection with an electronic IDS filed concurrently on this day.

It is respectfully requested that each of the documents listed on accompanying forms PTO/SB/08A, PTO/SB/08B and other information contained herein be considered by the Examiner and made of record in this application.

STATEMENT

As required under 37 C.F.R. § 1.97(e), Applicant(s), through the undersigned, hereby state either that:

☐ (1) Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement; or,

☐ (2) No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

OTHER BASES FOR THIS FILING

☐ The above identified materials are submitted in this Continued Prosecution Application (CPA) under 37 C.F.R. § 1.53(d) before the mailing of the first Office Action, and it is therefore believed that no fee for submission and consideration of this information is required pursuant to 37 C.F.R. § 1.97(b)(3).

☐ A Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 in conjunction with this application:

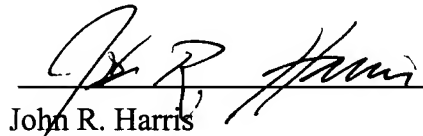
- (i) ☐ was filed on _____; or
- (ii) ☐ is filed concurrently herewith.

☐ The above identified materials are submitted in this application before the mailing of a first Office Action after the RCE filing, and it is therefore believed that no fee for submission and consideration of this information is required pursuant to 37 C.F.R. § 1.97(b)(4).

☒ Pursuant to 37 C.F.R. §§1.98(d), no copies of the documents listed in the accompanying forms are enclosed herewith as the information disclosure statement submitted in the earlier application no. 09/189,159, which has been issued as U.S. Patent No. 6,820,202, complied with §§1.98(a)-1.98(c) and is properly identified herein and is relied on for an earlier effective filing date under 35 U.S.C. §120 for the above-identified application.

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rule of Practice in patent cases, or otherwise.

Respectfully submitted,



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